



El Colegio de la Frontera Sur

Paleoecología e historia climática de la costa de Chiapas  
durante el Holoceno tardío

TESIS

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*“La diligencia en escuchar es el camino más rápido hacia la ciencia”*

Juan Luis Vives (1492 – 1540). Escritor y poeta español

Dedicado a aquellas personas iniciadas en el quehacer científico.

*“Las raíces de la ciencia pueden ser muy amargas, pero los frutos son siempre dulces”*

Aristóteles

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## **Introducción**

El Holoceno es el periodo que abarca los últimos ~10 000 años, en el cual concluyó el retroceso de las masas de hielo formadas durante la última era glacial, lo que permitió la formación de suelos y lagos, el establecimiento de la línea de costa en los continentes y la distribución actual de las comunidades vegetales (Roberts, 1998). Comparado con el periodo anterior (Pleistoceno), el Holoceno presenta menor variabilidad en la temperatura (Oldfield, 2005). Sin embargo, se reconoce que durante el Holoceno temprano se presentaron fuertes cambios climáticos con diferentes efectos en la vegetación, y los primeros efectos del ser humano sobre el ambiente se observan a partir de este periodo (Anderson, Goudie, y Parker, 2007).

La importancia del estudio de las condiciones pasadas del Holoceno radica en que, si bien la fase de fuertes cambios climáticos y del nivel del mar culminó hace aproximadamente 4000 años, durante la segunda mitad del Holoceno se han registrado variaciones importantes en la precipitación. A partir de este punto, las variaciones en el nivel del mar, cobertura de hielo y sucesión de ambientes es similar a la observada durante el último milenio, por lo tanto se infiere que los mismos procesos que provocaron estos cambios continúan actuando hoy en día (Oldfield, 2005).

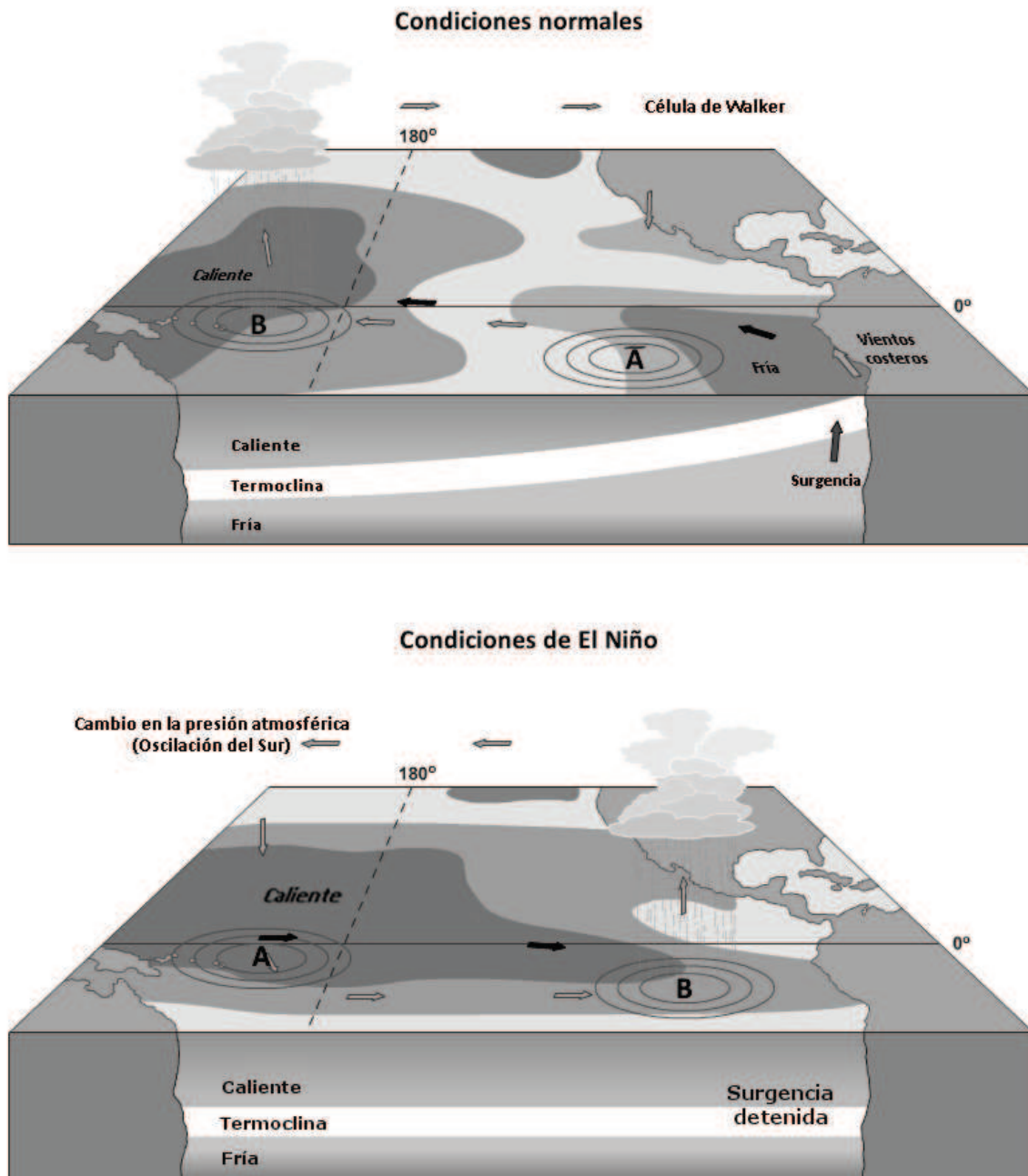
Los estudios paleoecológicos posibilitan analizar y comprender la forma en que se dieron los cambios ambientales del Holoceno desde una perspectiva dinámica, espacial y temporal (Islebe et al., 1996). El estudio de ambientes pasados requiere el entendimiento de tres factores: el ambiente actual (el sistema climático o la vegetación) y los procesos que lo modifican; la cronología, dimensión del tiempo en la que se

observan los cambios ambientales; y los indicadores o proxies que registran dichos cambios (Cronin, 1999).

Los eventos que producen cambios en la vegetación pueden estar relacionados con el sistema climático o fuera de éste. Dentro de los primeros, uno de los eventos climáticos con mayor influencia sobre los sistemas de precipitación a nivel global es la anomalía climática de El Niño – Oscilación del Sur (ENSO, por sus siglas en inglés) (Fig. 1). Los eventos ENSO generan el intercambio de zonas de precipitación por zonas de sequía en el Pacífico tropical y el océano Índico (Cronin, 1999). Este intercambio provoca el movimiento hacia el sur del cinturón de precipitaciones o zona de inter-convergencia tropical (ITCZ, por sus siglas en inglés) (Fig. 2), lo cual genera condiciones secas en Centroamérica y el norte de Sudamérica (Neff et al., 2006a). Los registros paleoclimáticos muestran un aumento en la frecuencia de eventos ENSO desde el 4000 años AP (Moy et al., 2002), mientras que el registro histórico denota reducciones en los ciclos de 90 – 50 – 24 – 22 años, hasta los ciclos actuales presentes cada 3 – 7 años (Cronin, 1999).

Por otro lado, la vegetación puede cambiar debido a eventos ajenos al clima como epidemias en las plantas, actividades humanas o erupciones volcánicas (Bradley, 1999). Sin embargo, es importante resaltar que los eventos que generan cambios en la vegetación pueden actuar al mismo tiempo (Cronin, 1999) y a diferentes escalas espaciales o temporales (Bradley, 1999). La escala de muestreo adecuada es trascendental para lograr una interpretación correcta de los cambios en la vegetación. Al mismo tiempo, es importante reconocer la presencia de respuestas no lineales de la vegetación, que se dan abruptamente al pasar un umbral de condiciones, y que pueden ser identificadas con estudios de alta resolución temporal (Bradley, 1999).

**Figura 1.** Diagrama esquemático de las condiciones oceánicas y atmosféricas en el océano Pacífico bajo condiciones normales y de El Niño. A y B representan zonas de alta y baja presión, respectivamente. Modificado de El Niño and La Niña (2004).





**Figura 2.** Diagrama esquemático del rango de movimiento de la Zona de inter-convergencia tropical (ITCZ) a lo largo del año.



El análisis de polen fósil en los sedimentos permite identificar cambios en la vegetación de cierta región a través del tiempo (Islebe, 1998). La morfología del polen muchas veces permite su identificación a nivel de especie, además, los granos de polen poseen gran resistencia en ciertos ambientes, y son una representación de la vegetación al momento del depósito (Bradley, 1999). Las condiciones climáticas pueden ser inferidas puesto que generalmente se trabaja con asociaciones de plantas actuales de las que se conocen sus requerimientos ecológicos (Traverse, 2007). Adicionalmente, el análisis de polen nos permite identificar cambios en la vegetación producidos por el establecimiento de cultivos (Mueller et al., 2009).

Para reconocer la sensibilidad de los proxies ante cambios ambientales, primero se debe comparar la respuesta de indicadores modernos ante diferentes condiciones actuales en un proceso de calibración (Bradley, 1999). En el caso del polen fósil, la

calibración se hace al comparar la relación del polen presente en sedimentos actuales y la vegetación adyacente por medio del análisis de lluvia de polen en diferentes ambientes (Moore, Webb, y Collinson, 1991). El depósito de polen en los sedimentos es afectado por la frecuencia de árboles productores de polen, por las diferencias en producción y los mecanismos de dispersión de las diferentes especies, así como por factores estratigráficos que intervienen en la preservación del polen (Faegri et al., 1989). Por lo tanto, resulta necesario contar con una amplia base de datos sobre lluvia de polen en diferentes ambientes para interpretar de mejor manera el polen fósil en los sedimentos (Bradley, 1999).

Es muy importante establecer las fechas precisas en que ocurrieron los cambios en la vegetación, tanto para generar una cronología de eventos, como para calcular la duración y esclarecer el origen de cada episodio (Roberts, 1998), además de determinar sincronías entre eventos (Bradley, 1999). Una técnica de datación muy utilizada en las reconstrucciones paleoambientales del Holoceno es la datación por radio-isótopos de carbono 14 (Roberts, 1998). Lo anterior debido a que este isótopo presenta distribución uniforme en el globo, puede usarse en restos orgánicos e inorgánicos de diferente origen, y posee un tiempo de vida que abarca cambios climáticos globales importantes y el desarrollo del ser humano (Bradley, 1999).

Las técnicas paleoecológicas poseen diferentes aplicaciones prácticas en el manejo de áreas costeras, debido a que permiten conocer las dinámicas que han operado en el pasado de un ecosistema y predecir la forma en que estos ambientes responderán a diferentes cambios en el futuro. Los registros palinológicos son un importante primer paso en la definición de factores que han afectado a las zonas costeras durante el Holoceno (Leyden, 2002). Dentro de las zonas costeras, los manglares resultan buenos

indicadores de cambios climáticos pasados (Torrescano-Valle y Islebe, 2012), debido a que generan gran cantidad de sedimentos orgánicos que proveen las condiciones húmedas y anóxicas necesarias para la preservación de polen a largo plazo (Ellison, 2008). Además, la localización de los manglares en la parte final de las cuencas bajas, en las planicies costeras (López-Portillo y Ezcurra, 2003), favorece a la acumulación de sedimentos provenientes de toda la cuenca hidrológica. Por último, la distribución de los manglares en zonas inter-mareales, bordeando las costas, los hace sensibles ante cambios hidrológicos (Tomlinson, 1986), por lo que son útiles para identificar cambios en el nivel del mar o entradas de agua salobre.

Los manglares de la costa de Chiapas son áreas naturales protegidas de gran importancia ecológica y económica. Proveen numerosos servicios ambientales de los que dependen un número cada vez mayor de pobladores (INE, 1999). Sin embargo, el cambio climático global amenaza seriamente a ésta y otras zonas de manglares alrededor del mundo (Gilman et al., 2008). En específico, los manglares de la costa de Chiapas se encuentran amenazados por una creciente frontera agrícola y ganadera, además del manejo ineficiente de los recursos naturales en las cuencas altas, que producen cambios profundos en el paisaje (Tovilla-Hernández et al., 2009). Por lo anterior, resulta indispensable realizar estudios paleoecológicos que permitan vislumbrar la respuesta de los manglares de la costa de Chiapas ante cambios climáticos futuros y contribuir en el diseño de planes de manejo y estrategias de restauración ecológica exitosas (Schoonmaker y Foster, 1991).

Se han realizado estudios paleoecológicos en el Pacífico de Centroamérica. En la cuenca de Panamá, González, Urrego, y Martínez (2006) reconocieron eventos de humedad e introgresión marina ocurridos de ~11300 – 5600 años antes del presente

(años AP), con una tendencia a la desecación a partir de ~7000 - 5640 años AP, y una intensa sequía a ~6500 años AP. Mientras tanto, Popenoe de Hatch (2004) describió cambios en la vegetación asociada a la actividad de grupos humanos en el área cercana al sitio arqueológico de Tak'alik Ab'aj en Guatemala a partir de ~3000 años AP.

En los manglares del Pacífico norte de Guatemala, Neff et al. (2006a) reportan condiciones húmedas para el Holoceno medio (~6000 – 4300 años AP). El paso hacia el Holoceno terminal está marcado por eventos de introgresión marina y sequías puntuales ocurridos de 4300 – 4000 años AP. Condiciones variables en humedad y temperatura caracterizan el final del Holoceno, aunque un aumento de precipitación se reporta de ~2800 – 1900 años AP, y a 1800 – 1200 años AP, éste último con presencia de cultivos. A partir de ~1250 años AP, se observa una sequía de larga duración relacionada con el colapso de la civilización Maya.

Por su parte, de acuerdo con Neff, Bove, y Genovez (2006), los manglares de la costa sur en el Pacífico de Guatemala presentan condiciones variables para el Holoceno terminal. Aunque en esta área se logra apreciar una sequía prolongada de ~1100 – 600 años AP.

Neff et al. (2006b) encontraron que la dominancia de especies arbóreas cambió por ambientes más abiertos a partir de ~3 500 años AP en tres sitios de las tierras bajas del Pacífico de Guatemala. Estas alteraciones se dieron de manera asincrónica en cada sitio, lo que sugiere que los cambios en la vegetación fueron provocados por efectos de tipo local, como la actividad humana, y no por efectos de cambio climático.

Un estudio sobre uso de recursos en la costa de Chiapas durante el Holoceno, sugiere que la estacionalidad estable ocurrida de ~3000 – 1800 años AP permitió la extracción

de productos provenientes de los humedales (Kennett y Voorhies, 1996). A partir de ~1800 años AP, se observa un cambio en la preferencia de alimentación de los pobladores por productos agrícolas.

El trabajo realizado en los manglares de la costa de Chiapas por Kennett et al. (2010) muestra evidencias sobre el aprovechamiento intensivo de recursos costeros en la región desde hace ~ 7 500 años AP. El cultivo del maíz se registró hace ~ 6 500 años AP, con la aparición de estrategias de roza tumba y quema involucradas con este cultivo a partir de ~4 100 años AP. Esto demuestra que la zona ha sido sujeta a intensa actividad humana desde mucho antes del establecimiento de la civilización Maya. En adición, los autores infieren cambios en la salinidad del ecosistema, de salobre (~6 600 - 4 100 años AP) a un ambiente de agua dulce (4 100 - 400 años AP), basados en la dominancia de especies vegetales asociadas a estos ambientes.

Todos estos estudios aportan información valiosa acerca de los factores que han afectado la vegetación de las costas del Pacífico de Centroamérica durante el Holoceno. Sin embargo, dichos muestreos carecen de resolución óptima para determinar cambios precisos, o sus interpretaciones se enfocan en determinar la presencia de asentamientos humanos. Por lo que se conoce poco acerca de los cambios ambientales que pudieron existir de manera natural en la zona, así como los factores que permitieron el establecimiento de la vegetación actual en la costa de Chiapas.

Algunos estudios en regiones más alejadas a la costa de Chiapas han logrado determinar con bastante precisión los cambios ocurridos en periodos posteriores a la actividad humana. A partir de muestras de polen del lago Petén-Itzá, Mueller et al. (2009) observaron una rápida recuperación de la vegetación arbórea tropical posterior

al colapso de la civilización maya. Por lo que proponen que la disminución de apertura boscosa provocada por la falta de la actividad humana, combinado con condiciones ambientales más húmedas permitieron una rápida regeneración arbórea alrededor del lago Petén Itzá en Guatemala a comienzos del periodo post-clásico (~ 1 000 años AP). Diversos estudios paleoecológicos en diferentes sitios de la región circum Caribe, como El Petén, Guatemala (Islebe y Leyden, 2006), la selva Lacandona (Islebe y Sánchez, 2002) y la península de Yucatán (Carrillo-Bastos et al., 2010; Monacci et al., 2011; Aragón-Moreno, Islebe, y Torrescano-Valle, 2012; Gutiérrez-Ayala, Torrescano-Valle, e Islebe, 2012; Torrescano-Valle e Islebe, 2012), muestran descripciones detalladas de los cambios en la vegetación y de la historia climática durante el Holoceno. Mientras que Haug et al. (2001), a partir de datos de esorrentías, infieren la presencia de mayor variabilidad en la precipitación sobre toda la región circum Caribe a partir de ~3 600 BP. Estos estudios asocian los cambios climáticos con movimientos de la ITCZ. Por lo tanto, son útiles para comparar estudios realizados en sitios bajo la influencia de la ITCZ, la cual determina el clima en las zonas tropicales (Fritz, 2005).

Este trabajo tiene como objetivo analizar la paleoecología de la costa de Chiapas durante el Holoceno tardío. Por ende, se enfocará en la descripción del proceso de sucesión vegetal a escala milenaria durante los últimos 4 500 años, así como en la identificación de su origen, climático o antropogénico, asociado también con cambios en el nivel del mar.

### *Área de estudio*

El sitio de muestreo (15°8.98'N, 92°45.06'W) se localiza dentro de La Reserva de la Biósfera La Encrucijada (RBLE), en el estado de Chiapas, México, el cual comparte

características físicas y ambientales con el resto de la costa de Chiapas. Presenta clima cálido húmedo con lluvias en verano (Aw), la temperatura media anual es 28 °C, con valores de precipitación anual entre 1,300 y 3000 mm. La estación húmeda se presenta de mayo a noviembre, con sequía intraestival (canícula) durante julio y agosto; mientras que la estación seca aparece de diciembre a abril, con algunas lluvias esporádicas en marzo y abril (INE, 1999). La posición latitudinal de la RBLE la ubica bajo la influencia de la ITCZ, la cual establece el régimen de precipitación en el área.

La fisiografía del lugar corresponde a la llanura costera de Chiapas y Guatemala, una intrincada red de abanicos aluviales localizada a lo largo de la costa, formada por depósitos volcánicos acumulados durante el cuaternario (Marshall, 2007). Los suelos son principalmente aluviales, aunque también están presentes suelos lacustres, palustres y litorales. Los tipos de suelo principales son solonchak, regosol, cambisol, gleysol, feozem y fluvisol. El escurrimiento de numerosos ríos provenientes de la Sierra Madre de Chiapas y la presencia de mareas del océano Pacífico permiten el llenado de complejos sistemas lagunares (INE, 1999).

Los principales tipos de vegetación en la RBLE son manglar, selva alta, bosque de galería, vegetación acuática, vegetación de duna costera y bosque de zapotón (*Pachira aquatica*). Las especies de manglar están representadas por *Rhizophora mangle*, *R. harrisonii*, *Laguncularia racemosa*, *Avicennia germinans* y *Conocarpus erectus*, con alturas medias de 5.9 – 7.9 m (*C. erectus*), hasta 22 – 27.6 m (*R. mangle* y *R. harrisonii*) (Tovilla-Hernández et al., 2009). La vegetación alrededor del sitio de muestreo consiste de un bosque puro de *R. mangle* (8 – 10 m de alto) localizado a 8 km de la línea de costa. El sedimento presente en el sitio está compuesto por una turba orgánica lodosa.

## **ARTICULO SOMETIDO**

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## **Mangrove response to Holocene climate change in Pacific south-eastern Mexico**

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## **Abstract**

Palynological survey of a mangrove core from southern Pacific Mexico provides accurate information about past vegetation changes from ~6200 – 900 cal yr BP. Highest percentages of mangrove pollen suggest moister and warmer than today conditions from ~6200 – 5400 cal yr BP related with global high rates of sea-level rise and northward position of the Intertropical Convergence Zone (ITCZ), suppressing El Niño-Southern Oscillation (ENSO) events. Dry conditions and globally decreasing rates of sea-level rise at ~5400 – 4400 cal yr BP are suggested by the lowest mangrove and highest presence of disturbance pollen. Recovery of mangroves and tropical forests occurs from ~4400 cal yr BP suggesting moister conditions, while sedimentation rates and lack of variation in pollen composition account for stabilization of the shore line. Agricultural activities are recognized at ~2400 cal yr BP during a moist episode, although human occupation was scarce. A protracted drought is observed at ~1800 – 1000 cal yr BP characterized by proportions in montane forest pollen and may be related with southern oscillation of the ITCZ and the Classical Mayan Demise.

## **Keywords**

Tropical paleoclimate; vegetation change; sea level; Holocene; pollen analysis; Pacific; south-eastern Mexico; mangrove sediments; Central America; Chiapas.

## 1 **Introduction**

2 Mangroves are complex plant communities fringing coastal areas (Lugo and Snedaker, 1974), developing  
3 in tidal zones of the tropics and sub-tropics, where soil is often or permanently water-saturated and  
4 salinity may be as high as that of the sea (Hogarth, 1999). Mangroves distribute along the Pacific Coast of  
5 Mexico (Rzedowski, 2006) and are considered one of the most diverse, rich and productive ecosystems  
6 in Mexico (INE, 1999a). In the Mexican state of Chiapas, mangroves provide environmental services as  
7 soil retention, protection from floods during high tides, rain-storms (INE, 1999a) and tsunamis (Alongi,  
8 2008), and also provide refuge and nesting areas for several birds as well as nurseries for marine species  
9 (Tovilla-Hernández et al., 2009), turning mangroves in the main food source for the people living within  
10 (INE, 1999a). Mangroves around the world are impeded by predicted consequences of global climate  
11 change (Gilman et al., 2008). In the coast of Chiapas these environments are threatened by expansion of  
12 agricultural and livestock frontiers, fast growing human population, and inefficient management of  
13 natural resources in the high basins, producing profound changes on the landscape (INE, 1999a).

14 It is known that Holocene climate conditions have not been stable (Mayewski et al., 2004) and plant  
15 communities answer according to the intensity and duration of climate events (Williams et al., 2002). It is  
16 also known that local occurrence of mangroves is determined by soil availability, physiographic and  
17 coastal conditions (Tomlinson, 1986), and hydrological properties of the basins (Soares, 2009). The  
18 linkage between climate and ecological conditions affecting mangroves, makes them ideal indicators of  
19 past climate changes (Torrescano-Valle and Islebe, 2012), since their own deposits (peat) provide wet  
20 and anaerobic conditions required for long-term preservation of environmental proxies (Ellison, 2008).

21 All over the world shifts in past climate conditions have been inferred from surveys of mangrove peat.  
22 Records from New Zealand, Oman and West Africa reveal that during early to mid-Holocene increased  
23 sea-level rise and global warm conditions favored development of mangroves at sites where they no

24 longer occur (Mildenhall, 2001), or allowed more extensive distribution than present relict areas (Lézine,  
25 1997; Lézine et al., 2002). Stanley and Hait (2000) found that mangrove peat records and sedimentation  
26 rates in the Great Sundarbans (Bangladesh and India) are best explained by subsidence processes  
27 occurring during the Holocene. While rising sea-levels in Bengala Bay caused brackish and mangrove  
28 environments dominating intermittently from 7500 to 5500 calibrated years before present (cal yr BP),  
29 later (~ 4870 – 4780 cal yr BP) fresh-water dominance resulted as sea-levels decreased (Rashid et al.,  
30 2013). Similar conclusions are proposed by Cohen et al. (2012) for Brazil, northern Amazonia, although  
31 tectonic subsidence and low river discharge are also responsible for mayor mangrove belt development  
32 along the coast during early and middle Holocene; then, increased precipitation during late Holocene  
33 transformed the mangrove belt at the estuaries, turning them into fresh-water systems.

34 Precipitation in the Pacific Coast of Chiapas is related with climate conditions of the circum Caribbean  
35 region, since both regions are influenced by the Intertropical Convergence Zone (ITCZ), which north-  
36 south movements determine the amount of moisture transported from the Caribbean sea onto the  
37 Pacific Ocean via the Panamá Isthmus (Leduc et al., 2007). Palaeoenvironmental records from Caribbean  
38 Central America indicate increased precipitation, temperature and sea level from ~8000 to ~4000 cal yr  
39 BP (Islebe and Sánchez, 2002; Mueller et al., 2009; Monacci et al., 2011) disrupted by lesser humid  
40 episodes (Haug et al., 2001; Carrillo-Bastos et al., 2010) and reduced sea-levels (Wooller et al., 2007).  
41 From ~4000 cal yr BP, those records indicate reductions in available moisture. At ~3000 cal yr BP records  
42 show discrepancies in timing, strength and length of climate conditions: humid conditions are reported  
43 for Puerto Morelos (Islebe and Sánchez, 2002), Punta Laguna (Curtis et al., 1996) and the Lacandon  
44 rainforest (Domínguez-Vázquez and Islebe, 2007); drying episodes prevail in the Cariaco basin (Haug et  
45 al., 2001) and Lake Tzib (Carrillo-Bastos et al., 2010); while intense human activities are present in the  
46 lowlands of northern Guatemala (Mueller et al., 2009). Albeit of contrasting conditions, those records  
47 show a drying trend towards ~1000 cal yr BP coinciding with the Classical Mayan Demise.

48 Few surveys of past environmental conditions have been carried out in the Pacific Coast of Central  
49 America. In the Panama Basin major introgression events are recorded from 11300 to 5600 cal yr BP  
50 coupled with higher precipitations and warmer temperature, except for a period of reduced moisture at  
51 7000 cal yr BP – 5640 cal yr BP (González et al., 2006). Records from Guatemala (Neff et al., 2006a,  
52 2006b, 2006c) and Chiapas (Kennett and Voorhies, 1996; Kennett et al., 2010) bring important insights  
53 about human occupation in the area. Those studies provide first significant knowledge about climatic  
54 factors that affected Pacific Central America; although, they do not present enough resolution or they  
55 are focused on human activities, hence they lack precise information about vegetation responses to past  
56 climate changes.

57 This study refers to vegetation responses to climate changes during the middle and late Holocene using  
58 pollen as a proxy, since pollen records are a first step in order to unravel particular effects influencing  
59 coastal areas during the Holocene (Leyden, 2002). Particularly, we are interested in dealing with climate  
60 or human contributions producing vegetation changes in the mangrove area. We compare our findings  
61 with other studies in search for regional or global climate correspondences and discuss the sources of  
62 these events.

### 63 *Study area*

64 Sampling site (15°8.98'N, 92°45.06'W) is located within La Encrucijada Biosphere Reserve (RBLE) in the  
65 Mexican state of Chiapas (Figure 1). Climate in the area is Tropical Wet/Dry (Aw), mean annual  
66 temperature is 28 °C with annual precipitation between 1,300 and 3,000 mm. Rainy season occurs from  
67 May to November with a mid-summer drought in July and August. Dry season is present from December  
68 to April with occasional precipitation in March and April (INE, 1999a). Latitudinal position of RBLE places  
69 it under influence of the Intertropical Convergence Zone (ITCZ) which establishes precipitation regime in  
70 the area.

71 Physiographics correspond with the Coastal plain of Chiapas and Guatemala, an intricate network of  
72 debris fans along the coast consisting of volcanic deposits accumulated during the Quaternary (Marshall,  
73 2007). Soils are mainly alluvials and lacustrines, although palustrines and littorals may occur. Main soil  
74 types are Solonchak, Regosols, Cambisols, Gleysols, Feozems and Fluvisols. Complex lagoon systems are  
75 created by infilling of rivers running down from the Sierra Madre de Chiapas and tides from the Pacific  
76 Ocean (INE, 1999a). Principal vegetation types in the reserve are mangrove, high tropical forest, gallery  
77 forest, salt marshes, coastal dune vegetation and *Pachira aquatica* forest. *Rhizophora mangle*, *R.*  
78 *harrisonii*, *Laguncularia racemosa*, *Avicennia germinans* and *Conocarpus erectus* represent the species of  
79 mangroves in the area, with medium heights from 5.9 – 7.9 m of *C. erectus* to 22 – 27.6 m of *R. mangle*  
80 and *R. harrisonii* (Tovilla-Hernández et al., 2009). Vegetation surrounding the sample site comprises a  
81 low-stature pure stand of *Rhizophora mangle*, 8 - 10 m high and 8 km inland from the shore line.  
82 Sediment in the coring site consists of muddy organic peat.

### 83 **Materials and methods**

84 Selection of coring site was focused on areas with little or none currents-influence and high  
85 sedimentation on a mud-water interface. Core CERRITOS02 was extracted using a mud-water interface  
86 Russian corer in 50cm-long sections, with a total depth of 600 cm. Each core section was wrapped,  
87 labeled and transported to the ECOSUR palynology laboratory. Samples for pollen extraction were taken  
88 at 5 cm intervals. A total of eighty pollen samples were treated with standard HCl, KOH and Acetolysis  
89 method (Erdtman, 1943; Faegri et al., 1989). Exotic *Lycopodium* spores were used in each pollen sample  
90 to assess the pollen concentration and pollen influx. Identification of pollen taxa was possible with the  
91 keys of Hooghiemstra (1984), Palacios-Chávez et al. (1991), Roubik and Moreno P. (1991), Sanchez-Dzib  
92 et al. (2009), and the pollen reference collection of ECOSUR – Unidad Chetumal.

93 At least 350 pollen grains were counted per sample using a 400X-magnification light microscope. In  
94 samples with low pollen counts a minimum of 100 pollen grains was obtained. Pollen taxa were grouped  
95 according with ecological affinity in mangroves, tropical forest, montane forest, disturbance, cultivars  
96 and aquatics. Aquatic elements (Cyperaceae, Nymphaeaceae and *Typha*), fungal elements and fern spores  
97 were counted, but not added to the pollen sum, because of over-representation from local elements. In  
98 order to recognize additional environmental features, Foraminifera and Charcoal was also noted in  
99 presence/absence counts.

100 Integrated data were presented in a percentages pollen diagram created in TILIA 1.17.16 software  
101 package (Grimm, 2001). Pollen zones were delimited according with composition and abundance of  
102 pollen taxa using CONISS (Constrained Incremental Sum of Squares) in TILIA.

103 Three radiocarbon ages were obtained by accelerator mass spectrometry (AMS) and measured from  
104 wood and plant material at Beta Analytic Inc., Miami, Florida. Calibration was performed with Calib  
105 Radiocarbon Calibration Program 6.1.0 (Stuiver and Reimer, 1986-2011) using the Intcal09 curve (Reimer  
106 et al., 2009) with  $2\sigma$  probability intervals (Table 1). Chronology was calculated by two-linear regression  
107 between the three calibrated ages and linear extrapolation for ages out of bounds. Comparison with an  
108 age-depth model made in Calib corroborates this chronology.

## 109 **Results**

110 Core CERRITOS02 represents the last 6250 years with mean annual sedimentation rate of 0.9603 mm/yr.  
111 Sample resolution was ~52 years. Change in sedimentation rate was observed at ~3400 cal yr BP (370  
112 cm) (Figure 2). As stated by Neff et al. (2006) in a nearby study site, sand layers were not found in the  
113 stratigraphy, discarding intense rainstorms and tsunami events.

114 Sixty two pollen taxa were identified in the analysis, but only taxa reaching at least 1% are represented in  
115 the pollen diagram (Figure 3). Changes in composition and abundances allowed CONISS for delimitation  
116 of five pollen zones. However, pollen zone V presented low pollen concentration and high  
117 concentrations of silicates which highly reduced observation of pollen grains; hence changes in pollen  
118 composition in zone V could not be inferred.

119 *Zone I (~6200 – 5400 cal yr BP; 600 – 530 cm)*

120 Mangrove elements dominate this zone (> 85%) with *Rhizophora mangle* as the dominant species (>  
121 80%). *Laguncularia racemosa* values are low (< 5%) but high peaks (7 – 11%) are present at ~5900, 5800,  
122 and 5400 cal yr BP. *Avicennia germinans*, *Conocarpus erectus* and other  
123 Melastomataceae/Combretaceae (Melasto/Comb) are low through this zone.

124 Tropical forest are relatively low (4 – 8 %) but suddenly rises (10 – 18%), indicated by Fabaceae,  
125 Moraceae and *Ficus* values, at the same lapses as *L. racemosa*. Montane forest pollen was scarce (0 - 3%)  
126 represented by *Quercus*, *Alnus* and *Pinus*. Disturbance taxa show the lowest values in the entire record  
127 (0 – 4%). At the end of the period, mangroves sharply decline (91 – 73%), *R. mangle* shows the highest  
128 decrease (90 – 60%), while increments in tropical forest (4 – 12%), montane forest (0 – 8%) and aquatics  
129 (0 – 1.4%) are noticed.

130 *Zone II (~5400 – 4400 cal yr BP; 530 – 455 cm)*

131 The initial stage of this period denotes the continued trend of declining mangroves started at the end of  
132 Zone I. The rest of Zone II presents fluctuations and the lowest values of mangroves for the entire record  
133 (2 – 35%; *R. mangle* 2 – 34%), except at ~4900 and ~4800 cal yr BP when high percentages of *L. racemosa*  
134 occurred (36 and 34%, respectively).



135 Higher diversity and abundance of tropical forest taxa account for higher pollen values (9 – 34%).  
136 Fabaceae, Moraceae and Euphorbiaceae contribute the most along with Bignoniaceae, *Ficus*, Sapotaceae  
137 and *Pouteria*. Montane elements show slight increases (up to 13%), *Quercus* and *Pinus* occurred at  
138 higher values (up to 5 and 7%, respectively), and *Abies* occurs at the bottom.

139 Disturbance taxa present the highest values for the entire record (80%).  
140 Chenopodiaceae/Amaranthaceae (Cheno/Ams, up to 73%), Poaceae (up to 10%) and *Cleome* (up to 6%)  
141 present higher contributions, although Asteraceae and *Croton* are often represented. Cucurbitaceae  
142 pollen is present at ~4900 and 4700 cal yr BP and coinciding with high values of disturbance taxa.  
143 Cyperaceae and *Typha* are present though the entire episode with higher and fluctuating values than  
144 Zone I (0.09 – 16% and 1 – 14%, respectively).

145 *Zone III (~4400 – 3200 cal yr BP; 455 – 355 cm)*

146 High fluctuations in mangroves characterizes this period, towards higher percentages. *R. mangle*  
147 presents great variability (30 – 52%) along the zone, except for a period between ~4000 – 3600 cal yr BP  
148 with high stable values. Low oscillations in *L. racemosa* (1 – 10%), Melasto/Comb (< 2%), and *C. erectus*  
149 (< 3%) are noted in the whole period, except at ~4400 cal yr BP with a peak of *L. racemosa*. *A. germinans*  
150 is absent in this period except of ~4100 – 3500 cal yr BP. Tropical forest elements also exhibit high  
151 variability (5 – 42.5%) with the highest values in the record as opposed with mangroves. Main  
152 representatives are Fabaceae, Euphorbiaceae and *Ficus*, frequent but with lower values are Moraceae,  
153 Sapotaceae and Bignoniaceae. Two peaks of tropical forest taxa at ~4300 and 3600 cal yr BP are opposed  
154 to both mangrove elements and disturbance taxa.

155 Montane forest show a steady low values during this zone. *Quercus* is expressed more often and with  
156 higher values (up to 4%) than Zone II, while *Pinus* decreases in frequency and abundance (up to 3%).  
157 *Alnus* presents high frequency but with low percentages. Disturbance taxa show lower and more variable

158 values (11 – 32%) than the previous zone. Chen/Ams suddenly increases (52%) at ~4100 cal yr BP,  
159 corresponding with the lowest values of mangroves (19%). Aquatics are scarce but a dominance of *Typha*  
160 over Cyperaceae is noticed. Foraminifera are present from ~4100 – 4000 cal yr BP, whereas charcoal is  
161 very often.

162 *Zone IV A (~3200 – 2500 cal yr BP; 355 – 290 cm)*

163 Mangroves steadily increase with higher values (73 – 88%). *R. mangle* is favored (61 – 82%), while *L.*  
164 *racemosa* is high at first (8 – 10%) but is lowered (0 – 5%) in later episodes. *Conocarpus erectus* shows a  
165 lowering trend, while *A. germinans* disappears from the record.

166 Tropical forests taxa are low (4 – 26%) during this phase, Fabaceae and Euphorbiaceae are best  
167 represented. Moist tropical forest elements (Moraceae, *Ficus* and Sapotaceae) are diminished; except for  
168 *Haematoxylum* (up to 3%) at different intervals. Montane forests decline in this period (2 – 6%) with  
169 *Quercus* and *Pinus* (up to 3%, both) as the dominant species, *Alnus* is through this zone with low values  
170 as in zone III.

171 Reduced abundance and frequency of disturbance taxa is observed in this sub-zone. Chen/Ams (0.5 –  
172 13%) and Asteraceae (0.5 – 1.5%) are most frequent taxa, with a continuous reduction trend in the  
173 former. Aquatics are highly reduced in this episode (< 3%), with alternate dominance between *Typha* and  
174 Cyperaceae. Discarding the early and later phases, Foraminifera is recognized through the whole sub-  
175 zone (~3100 – 2700 cal yr BP), while charcoal occurs from ~3200 – 2900 cal yr BP.

176 *Zone IV B (~2500 – 900 cal yr BP; 290 – 135 cm).*

177 A sudden drop in mangrove elements distinguishes the early phase (~2600 – 2300 cal yr BP) of this zone,  
178 and then a fluctuating trend unto higher percentages (73%) is observed until the end of the zone, when  
179 variability declines. *Rhizophora mangle* is the dominant species during this period (24 – 72%) and

180 counter-oscillates with *L. racemosa* values (0.5 – 13%). *C. erectus* and Melasto/Comb occur during this  
181 phase at low accounts (< 4 and < 2%, respectively), coinciding with high values of *R. mangle*. *Avicennia*  
182 *germinans* is present only at ~1900 cal yr BP.

183 Tropical forest taxa display higher and steadier values (9 – 25%) than the previous zone. Fabaceae is the  
184 dominant species (up to 20%) and shows a declining trend towards the end of the zone. Euphorbiaceae  
185 is the second most abundant with higher percentages (up to 4%) at the early phases. Less frequent taxa  
186 are Moraceae, *Ficus*, Sapotaceae, *Pouteria* and Loranthaceae (< 1%). Montane forests maintain low  
187 values (up to 9%) with three-mode dominance among *Quercus* (up to 6%, ~2500 – 1900 cal yr BP), *Alnus*  
188 (up to 2%, ~1800 – 1700 cal yr BP) and *Pinus* (up to 2%, ~1500 – 1100 cal yr BP).

189 High variability in disturbance taxa with relative high values (up to 41%) is observed from ~2500 – 2300  
190 cal yr BP and corresponds with high values in tropical forest while it contrasts with mangroves  
191 abundance. Variability is then lowered at later stages. Representative taxa are Cheno/Ams, Asteraceae  
192 and Poaceae. Important increases in *Croton* are observed at ~2500, 2400, and 2300 cal yr BP. A single  
193 pollen grain of *Zea mays* through the entire record is found at ~2400 cal yr BP. Cyperaceae and *Typha* are  
194 present with a lowering trend until ~2000 cal yr BP, after which they are present at low values. Charcoal  
195 particles are observed continuously from the early zone to ~1900 cal yr BP. Foraminifera is absent in this  
196 zone, except at ~1200 cal yr BP.

## 197 **Discussion**

### 198 *Middle Holocene (6200 – 4400 cal yr BP)*

199 Values of mangrove pollen and foraminifera at 6200 – 5400 cal yr BP indicate a fringe mangrove around  
200 the coring site, dominated by *R. mangle* pure stands and with high tidal-influence (Pool et al., 1977;  
201 Medeanic et al., 2008; Urrego et al., 2009) (Figure 3). Presence of *C. erectus* and *L. racemosa* may be

202 explained by water-transportation since both species are best represented on riverine and in-land  
203 environments (Pool et al., 1977; Tomlinson, 1986; Urrego et al., 2009). Despite low counts, we cannot  
204 rule out *Avicennia germinans* as a main element simply by pollen analysis, since this species present low  
205 pollen production (Tomlinson, 1986) and it often occurs in patchy distributions (Urrego et al., 2009).

206 This episode of mangrove favored ecosystems corresponds with episodes of rising sea levels, high  
207 precipitation and warm temperature reported for the Panamá Basin (11300 – 5600 cal yr BP; González et  
208 al., 2006), the Cariaco Basin (10500 – 5400 cal yr BP; Haug et al. 2001), North of Mexico (mid-Holocene  
209 to ~4000 cal yr BP; Metcalfe et al. 2000), and the lowlands of north Guatemala (~8000 – 4500 cal yr BP;  
210 Mueller et al. 2009). In Southern Chiapas, a dominance of mangrove forests is observed from 6600 to  
211 4100 cal yr BP (Kennett et al., 2010). Meanwhile, Neff et al. (2006) reported high precipitations and a  
212 high forest cover at ~6000 cal yr BP in North-Pacific of Guatemala, with a drying trend from ~5850 to  
213 5000 cal yr BP, although conditions were humid enough to support mature rainforests. Increased  
214 humidity and temperature during this period is related with increased insolation reported for the  
215 Caribbean region (Leyden, 2002), forcing the ITCZ to move north-ward, increasing precipitation over  
216 Mesoamerica (Haug et al., 2001), and finally suppressing ENSO events (Roy et al., 2010). Latitudinal  
217 extent of this events points for an orbital-induced insolation event as the causing factor behind the  
218 warm-humid events of the mid-Holocene (Clement et al., 2000).

219 Low values of montane forest and somehow dominance of *Quercus* in these forests at 6200 – 5400 cal yr  
220 BP support warmer and moister conditions (Correa-Metrio et al., 2011). Rodgers and Horn (1996) and  
221 Bush (2000) warn about miss-interpretation of *Quercus* pollen in the lowlands, since *Quercus oleoides* is  
222 present from 50 – 500 m above sea level (a.s.l.) (Horn, 1985). *Quercus* forests are reported over 700 m  
223 a.s.l. in the Pacific side of the Sierra Madre Oriental (INE, 1999a, 1999b; Salas Morales et al., 2007;  
224 Martínez-Meléndez et al., 2008; Pérez-Farrera et al., 2012) and pollen representation out of range is

225 explained because this genera is one of the few wind-pollinated montane species (Jacobs, 1982; Islebe  
226 and Hooghiemstra, 1995).

227 Starting at ~5400 cal yr BP (Zone II), sharp drops in mangrove elements, increases in tropical forest taxa  
228 and lack of foraminifera, suggest the environment rapidly changed into an in-land mangrove (Urrego et  
229 al., 2009)(Figure 3). Increment of disturbance taxa pollen could also reflect expansion of drier  
230 environments like tropical sub-deciduous forests and secondary vegetation, since pollen from these  
231 environments is mainly represented by Chenopodiaceae, Asteraceae and Poaceae (Jacobs, 1982).

232 Additionally, Amaranthaceae are representative herbs of tropical sub-deciduous and thorn forests in the  
233 Pacific Coast of Chiapas and Oaxaca (Salas Morales et al., 2007; Martínez-Meléndez et al., 2008; Pérez-  
234 Farrera et al., 2012). Higher counts on montane forest pollen and dominance of *Pinus* indicate regional  
235 reductions in the temperature (Correa-Metrio et al., 2011) and probably less precipitation that  
236 accounted for more open vegetation (Domínguez-Vázquez et al., 2004). In open vegetation forests  
237 erosion by runoff is more frequent and could explain increased occurrence of tropical forest pollen in the  
238 sediments coupled with higher accounts of disturbance taxa. Abundance of tropical forest and  
239 disturbance taxa versus mangrove forests also suggests periods of decreasing sea-level rise, allowing  
240 succession in areas previously occupied by mangroves (González et al., 2006). Increments in Cyperaceae  
241 and *Typha* pollen from 5400 – 4400 cal yr BP could be interpreted as growing salt-marshes derived from  
242 rising sea-levels and increased fresh-water input (Urrego et al., 2009; Kennett et al., 2010). Although,  
243 higher values in these taxa could be better explained by basin geomorphology, as water level decreased  
244 in response for less humidity and retiring sea-shore, availability of environments with different salinities  
245 could have increased (Bush, 2002).

246 The middle Holocene features a strong lowering of sea-level rise from ~7700 – 4760 cal yr BP. Affected  
247 mangrove ecosystems are reported in the Caribbean region (Toscano and Macintyre, 2003), Sibun River,

248 Belize (Monacci et al., 2011), the coast of Oman (Lézine et al., 2002) and Bangladesh (Rashid et al., 2013).  
249 In addition, the late of the middle Holocene is also associated with decreased humidity periods. González  
250 et al. (2006) reported a phase of mangrove reduction in the Panama Basin at 6500 cal yr BP coinciding  
251 with a dry event reported for South America. A dry episode is observed in Central Mexico at 6000 – 5000  
252 cal yr BP, albeit much variability exists among sites (Metcalf et al., 2000); precipitation decreases in the  
253 Cariaco Basin at ~5000 cal yr BP (Haug et al., 2001), while Mayewski et al. (2004) observe a global scale  
254 event of “cold poles, dry tropics” at 6000 – 5000 cal yr BP, probably caused by diminished solar radiation.  
255 In Lake Tzib, the Yucatan Peninsula, lower precipitation is inferred at 6500 – 4700 cal yr BP (Carrillo-  
256 Bastos et al., 2010). In North Pacific Guatemala, higher aridity index is recorded at 5270 – 4750 cal yr BP  
257 with arboreal taxa reduced to a minimum at 4750 cal yr BP and a dominance of Chenop/Ams (Neff et al.,  
258 2006c). Meanwhile, Kennett et al. (2010) attribute high percentages of Chenop/Ams and Asteraceae at  
259 4200 – 3900 cal yr BP to human activities near from our study site.

260 Sudden rises of *L. racemosa* are observed at ~5900, 5800, 5400, 4900, 4800 and 4400 cal yr BP (Figure 3)  
261 suggest short periods (~50 years) of fresh-water dominated environments as a result of intense  
262 precipitation, since *L. racemosa* occurs in fresh-water inundated areas (Rodgers and Horn, 1996) of  
263 riverine environments (Pool et al., 1977). However, highest values of *L. racemosa* pollen at 4900, 4800  
264 and 4400 cal yr BP could result as this species colonizes disturbed areas, forming pure stands (Tomlinson,  
265 1986), hence allowing best representation of *Laguncularia* pollen in sediments. At those ages, rapid  
266 colonization of *L. racemosa* could have occurred after fresh-water inundated areas formerly dominated  
267 by open vegetation during dry episodes.

268 Rapid episodes of fresh-water input are reported by Kennett et al. (2010) in core SOC05-2 at times  
269 between 6600 to 4100 cal yr BP in a nearby study, although ages are dissimilar. Elemental data in the  
270 Pacific Coast of Guatemala indicates higher precipitation after 4940 cal yr BP, and tropical forests recover

271 after 4750 cal yr BP (Neff et al., 2006c). In Lake Tzib, <sup>18</sup>O records shows increased precipitation during a  
272 short period at ~5000 cal yr BP in a longer dry phase (Carrillo-Bastos et al., 2010). Those records evidence  
273 the presence of brief periods of augmented moisture-availability during the Middle Holocene.

#### 274 *Late Holocene (~4400 – 0 cal yr BP)*

275 Change in sedimentation rates indicate that sea-level stabilization occurred prior 3390 cal yr BP (Figure  
276 2), however lack of extended variations in pollen composition since ~4400 cal yr BP, suggests  
277 establishment of the present shore line at that date (Figure 3). Differences in rates of sea-level rise  
278 among sites will depend on regional (i.e. geomorphology) and local (i.e. deltaic processes) factors (Ellison  
279 and Stoddart, 1991; Soares, 2009). Recovery of *Rhizophora* mangroves at 4400 cal yr BP indicates  
280 increased sea-level combined with higher precipitation, enhancing sediment input to the basin, hence  
281 spaces for mangrove establishment became available (González et al., 2006). Dominance of *Quercus* and  
282 reduced values of *Pinus* and *Alnus* support for regional warm-humid conditions (Metcalf et al., 2000;  
283 Domínguez-Vázquez and Islebe, 2007) during 4400 – 3200 cal yr BP. At ~4300 and 3400 cal yr BP tropical  
284 forest taxa are opposed with disturbance taxa and mangroves, suggesting increased moisture. A stable  
285 period of mangrove growth from ~4000 to 3600 cal yr BP, occurrence of foraminifera and reductions in  
286 aquatic elements suggest marine introgressions.

287 Contrasting climate conditions have been described for the beginnings of Late Holocene by different  
288 authors. A less extensive period of “cold poles, dry tropics” at 4200 – 3800 cal yr BP is observed in  
289 several sites around the globe (Mayewski et al., 2004). In Lake Cobá, reduced fluctuations in  
290 precipitations are reported from pollen of mesic dry forests around 4700 cal yr B.P. (Leyden, 2002).  
291 While, Mueller et al. (2009) suggest a dry period at 4500 – 3000 cal yr BP in the Petén, Guatemala.  
292 Increased precipitation is suggested from 4600 to 4100 cal yr BP and a dry episode at 3500 cal yr BP in  
293 Lake Tzib (Carrillo-Bastos et al., 2010). Aragón Moreno et al. (2012) reported enough precipitation to

294 support moist tropical forest from 4500 to 3000 with a drying trend started at 3460 cal yr BP in the  
295 northern Peninsula. Records from Puerto Morelos show higher values in mangrove elements and tropical  
296 forest taxa suggest a sustained and higher-than-today humid episode at 3600 – 3300 cal yr BP  
297 (Torrescano-Valle and Islebe, 2012). Neff et al. (2006c) reported humid conditions for northern Pacific  
298 Guatemala from 4750 – 4300 cal yr BP; then, reductions in organic carbon and increased carbonates at  
299 4200 – 4000 cal yr BP may suggest marine introgression, and brief dry events are reported at 4300 and  
300 4100 cal yr BP, with the driest event observed after 4000 cal yr BP (Neff et al., 2006c). Results are also  
301 corroborated with records from southern Chiapas where environmental change from brackish to fresh-  
302 water dominated is observed at 4700 – 4200 cal yr BP, and recovery of mangroves at 4200 – 3900 cal yr  
303 BP suggests slight marine-influenced conditions (Kennett et al. 2010).

304 A transition towards steady and more favorable conditions for mangrove development was established  
305 at 3200 cal yr BP. Increasing values of *Rhizophora mangle* and presence of foraminifera suggests a  
306 transition to brackish environments (Figure 3). Little reduction of tropical forest pollen is observed  
307 suggesting reduced moisture, but precipitation was enough to allow persistence of tropical forests.  
308 Reductions in montane elements suggest increasing temperatures; although elements from colder areas  
309 (*Pinus* and *Alnus*) persist at low values, indicating persistence of these forests at higher altitudes.

310 At 2500 – 2300 cal yr BP reductions of mangroves and increased values of disturbance and moist tropical  
311 forest elements could suggest decreased precipitation. However, presence of *Zea mays* pollen (~2400 cal  
312 yr BP) (Figure 3) and continual charcoal occurrence (~2500 to 1900 cal yr BP) suggest agricultural  
313 activities in the area, since disturbance taxa are well represented in human environments (Leyden,  
314 2002). Dominance of *Quercus* in the montane forests also suggests regional warm-humid climates in a  
315 longer period (3200 – 2500 cal yr BP).



316 Our results correspond with those reported for the Gulf of Mexico, the Yucatan Peninsula and the Pacific  
317 Coast of Chiapas and Guatemala. Leyden (2002) reported a mangrove – brackish – fresh-water transition  
318 observed at 3400 – 3150 cal yr BP in coastal Tabasco, related with increased moisture and reduced sea-  
319 level rise (Leyden, 2002). The pollen record from Lake Tzib indicates higher precipitation at 3000 – 1900  
320 cal yr BP and the development of a medium-statured tropical forest (Carrillo-Bastos et al., 2010). Kennett  
321 and Voorhies (1996), in a study performed near our site, observed stable temperature and precipitation  
322 at 3000 – 1800 cal yr BP, very similar to present days. Stable humid conditions are reported from 2800 to  
323 1900 cal yr BP in Pacific Guatemala, with reduced moisture from 2600 to 2400 cal yr BP (Neff et al.,  
324 2006c). This short episode of reduced moisture during a longer humid episode could have facilitated  
325 agricultural labors in the area (Mueller et al., 2009).

326 Regional changes toward lower temperatures and slightly dryer conditions are inferred at ~1800 – 1700  
327 cal yr BP from changes in dominance of *Quercus* to *Alnus* forests in the highlands (Figure 3). *Alnus* occurs  
328 in colder conditions than *Quercus* at upper latitudes (Islebe and Hooghiemstra, 1995) and it is a  
329 opportunistic species in disturbed montane forests (Weng et al., 2004). At 1700 – 1000 cal yr BP  
330 montane forest became *Pinus* dominated, indicating cooler and dryer conditions. Additionally at ~1700 –  
331 1000 cal yr BP, lower values of *Rhizophora* pollen than in the two previous zones, reductions in  
332 *Laguncularia racemosa* along with tropical forest elements, and sustained presence of disturbance taxa  
333 are explained as a result of decreasing moisture availability in the lowlands.

334 Elemental proxies along with reductions in forest cover and increments in disturbance taxa and charcoal  
335 account for increased agricultural activities during high moisture conditions in the Pacific coast of  
336 Guatemala for the period 1800 – 1200 cal yr BP (Neff et al., 2006c). However, lack of charcoal and  
337 cultivar pollen in our record at this time support inferences about conditions with reduced moisture.

338 Discrepancies between records may result since geochemical and sedimentological proxies indicate local  
339 effects, while pollen is a better indicator for regional effects (Mueller et al., 2010).

340 Dry conditions at 1200 cal yr BP are reported in tropical and subtropical areas (Mayewski et al., 2004),  
341 coinciding with the Classic Mayan Collapse. In lake Chichancanab a dry trend is observed at 3800 – 1400  
342 cal yr BP, in spite of date corrections by hard water effects (Leyden, 2002). A drying trend is suggested  
343 from 1300 – 1200 cal BP in Lake Tzib, with higher peak at 1200 cal yr BP; although dry conditions  
344 occurred until 850 cal yr BP (Carrillo-Bastos et al., 2010). Records from Punta Laguna indicate a dry event  
345 from ~1700 to 840 cal yr BP, with higher intensity from ~1170 to 840 cal yr BP (Curtis et al., 1996). Strong  
346 dry events are reported in Puerto Morelos at ~1380 – 1180 cal yr BP, then from ~1180 – 890 cal yr BP  
347 humid conditions are observed, and from ~890 cal yr BP to present, dry conditions are suggested (Islebe  
348 and Sánchez, 2002). The San José Chulchaca record in the Yucatan peninsula show the driest period  
349 reported for this area at 1500 – 900 cal yr BP (Metcalf et al., 2000).

350 Several peaks in tropical forest taxa coincide with high values of disturbance taxa suggesting short  
351 periods (~50 years) of reduced humidity within more humid phases at 4400 – 3200 and 2500 – 900 cal yr  
352 BP. Conversely, sudden rises in *L. racemosa* pollen coupled with tropical forest and disturbance taxa are  
353 noticed during the same periods, indicate short periods of higher precipitation and reduced marine  
354 influence (Figure 3). Intermittent presence of *Typha* also suggests short-periods of fresh-water entrance  
355 (Urrego et al., 2009), even at low values.

356 Reduced intensity and length between short humid-dry events during Late Holocene may result from  
357 more frequent ENSO events, established at ~4000 cal yr BP (Toth et al., 2012), with highest frequency  
358 reported at ~1200 cal yr BP (Moy et al., 2002). Width century-scale oscillations are observed in the  
359 Cariaco Basin from 3800 – 2800 cal yr BP and correlate increased ENSO variability with movements of  
360 the ITCZ (Haug et al., 2001). Clay deposits in Lake Petén Itzá indicate unstable climate conditions around

361 1750 – 1000 cal yr BP (Mueller et al., 2010). The Coast of Pacific Guatemala present dry and variable  
362 conditions at 1250 – 470 cal yr BP in the north (Neff et al., 2006c), and from 1100 to 600 cal yr BP in the  
363 south (Neff et al., 2006a). All these records manifest the high variability in climate conditions during late  
364 Holocene.

365 *Causes of environmental change in the Pacific coast*

366 According with Curtis et al. (1996), changes in precipitation were the dominant factor during the  
367 Holocene. These changes are related with movements of the Intertropical Convergence Zone, reaching  
368 northern latitudes in humid times, and southern locations in arid periods (Haug et al., 2001). The ITCZ  
369 displaces to southern position in warm ENSO events, resulting in precipitation deficit during the rainy  
370 season in Central America and the north side of South America (Neff et al., 2006c). Simulation models  
371 associates a reduction in frequency and intensity of ENSO events in the mid- to late-Holocene with  
372 reduced insolation during the boreal summer as a result of orbital induced changes (Clement et al.,  
373 2000). However, insolation drops do not account for the dry events reported at 4300 – 3800 and 1200 –  
374 1000 cal yr BP (Mayewski et al., 2004). Dry episodes during late-Holocene may also depend on the  
375 strength and location of the Bermudas High (Metcalf et al., 2000), which restricts northern movement  
376 of the ITCZ, and on variations in the atmospheric and oceanic circulations (Curtis et al., 1996).

377 Human activity was inferred from charcoal concentrations in the swamps of Pacific Guatemala from 5000  
378 cal yr BP at three different sites intermittently (Neff et al., 2006b). Presence of Cucurbitaceae pollen at  
379 ~4900 and ~4700 cal yr BP in our data could support the above. However, charcoal remains are not  
380 continuously present during zone I, and neither at ~4700 cal yr BP, suggesting little or none human  
381 activities in the mangrove area. Charcoal could be a result from fires ignited in strong thunder-storms  
382 during dry events, like in the Petén, Guatemala (Mueller et al., 2009). In addition, Cucurbitaceae occur  
383 nowadays in tropical forests upslope from the study site (Martínez-Meléndez et al., 2008; Pérez-Farrera

384 et al., 2012), thus presence of pollen of this cultivars might better be explained by water transport from  
385 highlands.

386 Detection of human populations in the area is difficult due to different factors. First, evidence from  
387 different sites of the Pacific coast of Guatemala suggests that human occupation was extensive at low  
388 populations in the area, and movements to another area occurred when resources were depleted (Neff  
389 et al., 2006b). Second, very few areas near mangroves are able to sustain crop cultivation nowadays  
390 (Kennett and Voorhies, 1996), mainly due to lack of hydrological control (Prager, 2006). And third,  
391 morphology and weight of *Zea mays* pollen account for lower representation, even in proximity of crop  
392 fields (Kennett et al., 2010). Scarcity of evidence of human impacts in our study site also agrees with the  
393 statement that enormous variability in the environment explains low populations and lack of permanent  
394 establishment in the mangrove area (Neff et al., 2006b).

395 Abrupt climate changes could increase mortality of adult trees by increasing susceptibility to  
396 environmental stressors (Williams et al., 2002). Evidences indicate that, though fragile, mangroves can  
397 persist over abrupt climate change. Complexity index may express the environmental limitations at  
398 which any given vegetation is exposed, restricting resources availability needed for full development  
399 (Pool et al., 1977). For the mangroves from the Pacific Coast of Chiapas complexity index (calculated  
400 from Tovilla-Hernández et al. 2009) lay under the parameters indicated for the Pacific Coast mangroves.  
401 Pacific coast mangroves are thought to have lower complexity index because they present lower  
402 humidity than Caribbean Sea mangroves, however greater run-off and subsequent sediment catching by  
403 mangroves permit higher complexity in the Pacific Coasts (Pool et al., 1977). These features altogether  
404 may explain the high resilience of mangroves in the Pacific Coast of Chiapas to Holocene climate  
405 changes.

406 Diverse factors are responsible for maintenance of mangroves. Mangrove response to sea-level rise will  
407 depend on rates of rise and sediment accumulation, changes on elevation, space availability for  
408 migration and ecological competition present in those areas. Mangrove extinctions may occur if sea-level  
409 rising rates are higher than sedimentation and migration rates (Soares, 2009). While, future migrations  
410 of vegetation may be limited by fragmentation caused by humans activities, or enhanced by intentional  
411 propagule dispersal (Williams et al., 2002). But predicted sea-level rise of 100-200 cm for the next 100  
412 years (Ellison and Stoddart, 1991), and the constant threatening of a growing agricultural and livestock  
413 frontier (INE, 1999a), envisage a dark panorama for the persistence of the mangroves in the Coast of  
414 Chiapas.

#### 415 **Conclusions**

416 Core CERRITOS02 represents changes in vegetation for the time frame between ~6200 and 900 cal yr BP,  
417 and provides evidence of environmental changes affecting mangrove vegetation in the coast of Chiapas.  
418 The most humid and warmest phase is observed for the middle Holocene, related with high rates of sea-  
419 level rise that favored *Rhizophora mangle* forests development; intense effects of reduced precipitation  
420 and abrupt slowing sea-level rises may account for the lowest proportion of mangrove pollen observed  
421 in our record at 5400 – 4400 cal yr BP. The late Holocene boundary is recognized by recovery of  
422 mangroves and lack of important changes in pollen taxa at 4400 cal yr BP suggesting increased moisture  
423 and establishment of the actual coast line, highly supported by changes in sedimentation rates; a mild  
424 stable dry phase is observed at 3200 cal yr BP, allowing marine introgression and development of a  
425 brackish environment. Intense agricultural activities are suggested for the 2500 to 1800 cal yr BP period,  
426 while regional moist conditions are inferred from montane taxa pollen. Drying trends are observed from  
427 1800 to 1000 cal yr BP, characteristic of Late Holocene conditions. High resolution acquired in our  
428 samples allowed us to identify rapid changes in precipitation regime (~50 years); these events are

429 observed in the entire record, although a trend to more frequent and less intense events is noted since  
430 the beginning of Late Holocene. A fast-changing environment could have forbidden high human  
431 concentrations in the area (Neff et al., 2006b), thus facilitating interpretation of climate events in our  
432 results.

433 In general our data indicate that mangrove from coastal Chiapas are good indicator of past  
434 environmental changes in a local and regional extension. However, modern pollen rain studies are  
435 desirable for better interpretation of vegetation types coupled with different environmental sets.

436 Inferences about vegetation changes are obscured for last 900 years in our core. Nevertheless, intense  
437 agricultural activities are reported for the last 1000 years in a nearby area (Kennett et al., 2010), and  
438 they may explain sedimentological changes observed in the upper sections of core CERRITOS02.

439 Therefore, high-resolution multi-proxy surveys are desired for deciphering regional and local climate  
440 events that occurred in the area, and also for precise recognition of human activities at different sites in  
441 the upper basin.

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Figure 3. Pollen diagram.

**Table 1**

Laboratory code	Sample code	Depth (cm)	Description	Radiocarbon age (yr BP)	2 $\sigma$ calibration (cal yr BP)	Average age (cal yr BP)	2 $\sigma$ probability
BETA333846	CERRITOS577	577	plant remains	5220 $\pm$ 40	5907-6026	5966.5	0.834448
BETA335127	CERRITOS370	370	plant remains	3150 $\pm$ 30	3333-3446	3389.5	0.986056
BETA333844	CERRITOS292	292	wood	2520 $\pm$ 30	2488-2644	2566	0.710524



Figure 1

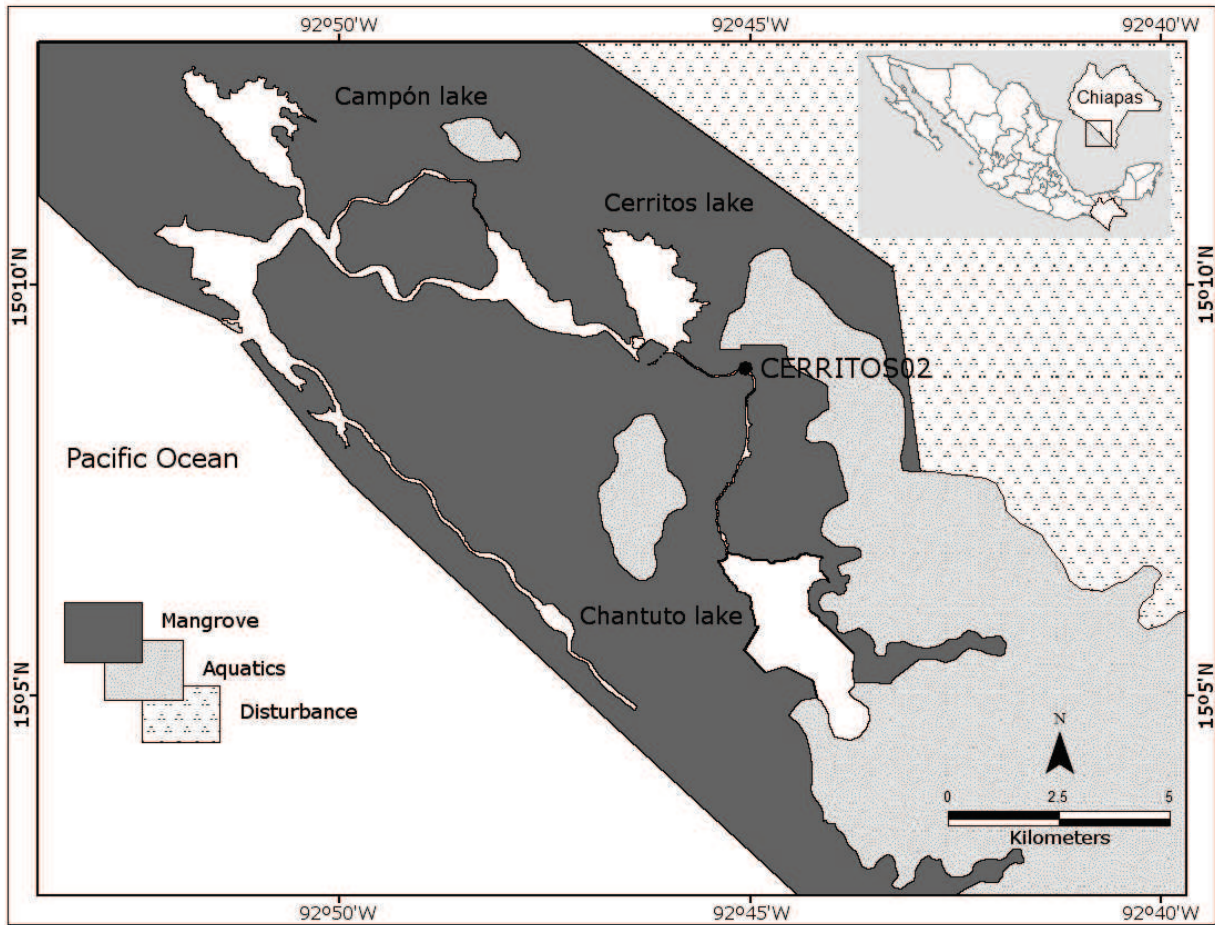
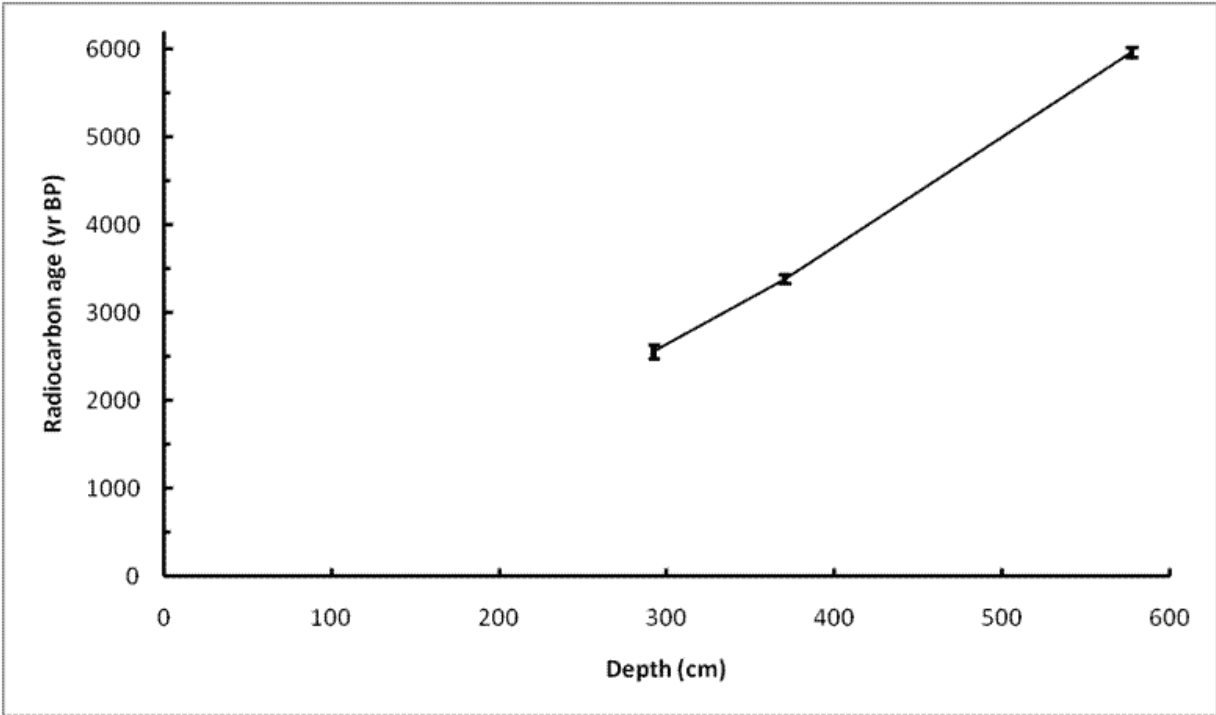


Figure 2





## **Conclusiones finales**

El análisis palinológico del núcleo CERRITOS02 permitió la reconstrucción de la historia ambiental de los manglares de la costa de Chiapas durante los últimos 6000 años. Altas tasas de sedimentación y poca interferencia de actividades humanas en los manglares de la llanura costera de Chiapas y Guatemala (Neff et al., 2006a) favorecieron la alta resolución de muestreo obtenida en este estudio.

Polen fósil perteneciente a 62 taxa, agrupados en seis grupos ecológicos (Anexo), permitió el reconocimiento de cambios en la vegetación a diferentes escalas espaciales y temporales como consecuencia de eventos climáticos. El análisis de foraminíferos y partículas de carbón presentes en las muestras fue de gran apoyo en la interpretación de cambios en el nivel del mar y actividades humanas, respectivamente.

Durante el Holoceno medio, el gran desarrollo de bosques de *Rhizophora* indica la fase de mayor temperatura y precipitación de los últimos 6000 años (6200 – 5400 años AP), relacionada con altas tasas de aumento de nivel del mar. A partir de 5400 años AP, se observa un cambio de la vegetación de manglar por vegetación secundaria, como resultado de condiciones secas y disminuciones abruptas en las tasas de aumento del nivel del mar.

El paso hacia el Holoceno tardío está caracterizado por la recuperación de los manglares y ausencia de cambios importantes en la composición taxonómica del polen a partir de 4400 años AP, lo que sugiere condiciones húmedas y el establecimiento de la línea de costa actual; apoyado por cambios en las tasas de sedimentación. A 3200 años AP se registra una ligera y estable sequía que permitió mayor influencia de agua marina y el desarrollo de un ambiente salobre. El área de estudio presenta evidencia de actividades agrícolas del 2500 a 1800 años AP facilitadas por condiciones húmedas.

Condiciones secas típicas del Holoceno tardío se observan en el periodo 1800 – 1000 años AP.

A lo largo del registro polínico se logran observar cambios rápidos en el régimen de precipitación (aproximadamente de 50 años). Estos eventos se presentan con menor intensidad y mayor frecuencia hacia el final del Holoceno, por lo que podrían relacionarse con eventos ENSO (Moy et al., 2002). Condiciones tan cambiantes pudieron haber limitado el establecimiento de poblaciones humanas (Neff et al., 2006b). Las condiciones físicas y ambientales actuales en el sitio de muestreo son similares a las del resto de la costa de Chiapas. Por lo tanto, los cambios climáticos inferidos en este estudio pueden ser extrapolados a toda la región.

Altas concentraciones de silicatos observadas en los últimos 900 años de nuestro registro impiden hacer inferencias acerca de los cambios en la vegetación ocurridas en este periodo. Sin embargo, Kennett et al. (2010) reportan actividades agrícolas intensivas para los últimos 1000 años en un área cercana a nuestra zona de estudio, lo que podría explicar los fuertes cambios en la sedimentación observados. Por lo tanto, proponemos llevar a cabo estudios multi-proxies de alta resolución para corroborar nuestros resultados y descifrar nuevos eventos climáticos a diferentes escalas, así como para identificar actividades humanas en la cuenca alta. Del mismo modo, se requieren estudios de lluvia de polen en la región para mejorar las interpretaciones sobre los tipos de vegetación en diferentes condiciones ambientales.

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## Anexo

Principales tipos de polen identificados en las muestras. 1) Manglar: A, *Rhizophora*; B, *Laguncularia racemosa*; C, *Conocarpus erectus*. 2) Bosque tropical: A, Fabaceae; B, Sapotaceae; C, Moraceae; D, *Ficus*. 3) Bosque montano: A, *Pinus*; B, *Alnus*; C, *Quercus*; D, *Myrica*. 4) Perturbación: A, Chenopodiaceae; B, Asteraceae; C, Poaceae; D, *Croton*. 5) Acuáticas: A, *Typha domingensis*; B, Cyperaceae; C, *Nymphaea ampla*.

